

## Technology evaluation timeline – year 1

<u>Month</u>	<u>Goal</u>
<b>Prior to invention disclosure</b>	<ul style="list-style-type: none"> <li>• OTT staff regularly canvasses faculty and research staff to help identify new technologies and encourage faculty to submit new Invention &amp; Technology Disclosure form.</li> </ul>
<b>0</b>	<ul style="list-style-type: none"> <li>• Faculty/research staff submit Invention &amp; Technology Disclosure form.</li> <li>• Technology is assigned a case number and assigned to an OTT licensing manager.</li> <li>• Federal reporting as required.</li> </ul>
<b>1</b>	<ul style="list-style-type: none"> <li>• Licensing manager meets with inventor(s) to obtain full technical description and begin to identify potential commercial applications</li> <li>• <b>First go/no-go decision point:</b> <ul style="list-style-type: none"> <li>○ Assign to “Active” status - begin evaluation, file provisional patent application as appropriate to guard against early/inadvertent public disclosure.</li> <li>○ Assign to “In development” status – suspend evaluation until technology is further developed.</li> <li>○ Close - waive ND’s rights, send out close letters.</li> </ul> </li> </ul>
<b>2</b>	<ul style="list-style-type: none"> <li>• Market research and patentability analysis. First draft of non-technical abstract.</li> </ul>
<b>3</b>	
<b>4</b>	<ul style="list-style-type: none"> <li>• Continued market research, develop profile of ‘preferred licensee’, identify potential licensing targets.</li> <li>• Update non-technical abstract/marketing summary, release via appropriate outlets.</li> </ul>
<b>5</b>	
<b>6</b>	<ul style="list-style-type: none"> <li>• Meet with inventor           <ul style="list-style-type: none"> <li>○ Check for new developments. If yes, file updated PPA as appropriate, update marketing abstract, release new information to potential licensees.</li> <li>○ <b>Second go/no-go decision:</b> <ul style="list-style-type: none"> <li>• Active - continue evaluation as per above. Discuss plan with inventor.</li> <li>• Close - waive ND’s rights, send out close letters.</li> </ul> </li> </ul> </li> </ul>
<b>7</b>	<ul style="list-style-type: none"> <li>• Contact potential licensees.</li> </ul>
<b>8</b>	
<b>9</b>	<ul style="list-style-type: none"> <li>• Status check – any commercial feedback? Follow up as appropriate.</li> </ul>
<b>10</b>	<ul style="list-style-type: none"> <li>• <b>Third go/no-go decision point for active cases:</b> <ul style="list-style-type: none"> <li>○ Active - continue evaluation.</li> <li>○ Close - waive ND’s rights, send out close letters.</li> </ul> </li> <li>• Federal reporting as required.</li> </ul>
<b>11</b>	<ul style="list-style-type: none"> <li>• If appropriate, select patent attorney and authorize non-provisional patent application filing.</li> </ul>
<b>12</b>	<ul style="list-style-type: none"> <li>• As appropriate, file non-provisional patent application. Update federal reporting. Follow up with prospective licensees.</li> <li>• For cases “In development”, check with inventor for new developments.</li> </ul>

Note: “Active” status indicates cases are being actively evaluated and marketed to industry. “In development” status indicates that case is open but is awaiting further development and disclosure of additional information for patenting and licensing purposes. “Closed” status indicates that University has halted its commercialization efforts and will waive its rights upon request or in accordance with the research funding agreement.